

Majority Judgment vs Majority Rule

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Based on joint work with

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- 1 Majority judgment method
 - Inspired by practice
 - Majority judgment for small jury
 - Majority Judgment for a large electorate
- 2 May's axioms for $n = 2$ candidates
- 3 Extending May's Axioms to $n \geq 3$ [based on comparisons]
 - Condorcet and Arrow Paradoxes
 - Arrow's Theorem
- 4 Extending May's axioms to $n \geq 1$ candidates [based on measures]
 - Dahl's intensity problem
 - Ranking methods based on measures
 - Strategy proofness and second characterization of MJ
- 5 Scale and language dependency
- 6 Statistical Comparisons of methods
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- 8 Conclusion and references

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 - 0 "completely failed"
 - $\frac{1}{2}$ to 2; "unsatisfactory"
 - $2\frac{1}{2}$ to $4\frac{1}{2}$ "deficient"
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 - If 7, **the 2 highest and 2 lowest scores are eliminated**, leaving 3 scores.

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- There are many other instances that use **measures**—well defined **scales of grades**—to grades, to rank and or to designate winners : guide Michelin, figure skating, gymnastics, concours Chopin, wine competitions, etc.

A real use of Majority Judgment : small jury

Opinion profile : LAMSADE Jury ranking PhD candidates for a grant, 2015

	J_1	J_2	J_3	J_4	J_5	J_6
A :	<i>Excellent</i>	<i>Excellent</i>	<i>V. Good</i>	<i>Excellent</i>	<i>Excellent</i>	<i>Excellent</i>
B :	<i>Excellent</i>	<i>V. Good</i>	<i>V. Good</i>	<i>V. Good</i>	<i>Good</i>	<i>V. Good</i>
C :	<i>Passable</i>	<i>Excellent</i>	<i>Good</i>	<i>V. Good</i>	<i>V. Good</i>	<i>Excellent</i>
D :	<i>V. Good</i>	<i>Good</i>	<i>Passable</i>	<i>Good</i>	<i>Good</i>	<i>Good</i>
E :	<i>Good</i>	<i>Passable</i>	<i>V. Good</i>	<i>Good</i>	<i>Good</i>	<i>Good</i>
F :	<i>V. Good</i>	<i>Passable</i>	<i>Insufficient</i>	<i>Passable</i>	<i>Passable</i>	<i>Good</i>

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E :	Good	Passable	V. Good	Good	Good	Good
F :	V. Good	Passable	Insufficient	Passable	Passable	Good

Merit profile :

A :	Excellent	Excellent	Excellent	Excellent	Excellent	V. Good
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For all pairs (except between B and C), first order domination decides!

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	A	C	B	D	E	F	Borda score
A	–	5	5	6	5.5	6	5.5
C	1	–	3.5	5	4	5	3.7
B	1	2.5	–	5.5	5	6	4.0
D	0	1	0.5	–	3.5	5	2.0
E	0.5	2	1	2.5	–	4	2.0
F	0	1	0	1	2	–	0.8

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Condorcet ranking is $A \succ_{\text{Condo}} C \succ_{\text{Condo}} B \succ_{\text{Condo}} D \succ_{\text{Condo}} E \succ_{\text{Condo}} F$.

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This is a major criticism against MJ (and Borda since the 18th century).

The majority judgement ballot (large electorate)

Ballot : Election of the President of France 2012

To be president of France,
having taken into account all considerations,
I judge, in conscience, that this candidate would be :

	<i>Outs- tanding</i>	<i>Excel- lent</i>	<i>Very Good</i>	<i>Good</i>	<i>Accep- table</i>	<i>Insuf- ficient</i>	<i>Reject</i>
François Hollande							
François Bayrou							
Nicolas Sarkozy							
Jean-Luc Mélenchon							
Nicolas Dupont-Aignan							
Eva Joly							
Philippe Poutou							
Marine Le Pen							
Nathalie Arthaud							
Jacques Cheminade							

Pool OpinionWay-Terra Nova, April 12-16 2012

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Hollande	12.48%	16.15%	16.42%	11.67%	14.79%	14.25%	14.24%
Bayrou	2.58%	9.77%	21.71%	25.24%	20.08%	11.94%	8.69%
Sarkozy	9.63%	12.35%	16.28%	10.99%	11.13%	7.87%	31.75%
Mélenchon	5.43%	9.50%	12.89%	14.65%	17.10%	15.06%	25.37%
Dupont-Aignan	0.54%	2.58%	5.97%	11.26%	20.22%	25.51%	33.92%
Joly	0.81%	2.99%	6.51%	11.80%	14.65%	24.69%	38.53%
Poutou	0.14%	1.36%	4.48%	7.73%	12.48%	28.09%	45.73%
Le Pen	5.97%	7.33%	9.50%	9.36%	13.98%	6.24%	47.63%
Arthaud	0.00%	1.36%	3.80%	6.51%	13.16%	25.24%	49.93%
Cheminade	0.41%	0.81%	2.44%	5.83%	11.67%	26.87%	51.97%

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Majority Judgment Ranking	Majority Grade α	Gauge + or - p ou q	First-Past-the-Post
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2 Bayrou	<i>Good</i>	-40.71%	5

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2 Bayrou	<i>Good</i>	-40.71%	5
3 Sarkozy	<i>Acceptable</i>	+49.25%	2
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Result of the Pool OpinionWay-Terra Nova, April 12-16 2012

Majority Judgment Ranking	Majority Grade α	Gauge + or - p ou q	First-Past-the-Post
1 Hollande	<i>Good</i>	+45.05%	1
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3 Sarkozy	<i>Acceptable</i>	+49.25%	2
4 Mélenchon	<i>Acceptable</i>	+42.47%	4
5 Dupont-Aignan	<i>Insufficient</i>	+40.57%	7
6 Joly	<i>Insufficient</i>	-38.53%	6
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Compared to first-past-the-post (plurality voting), majority judgment **increases** the ranking of **moderates** and **decreases** the ranking of the **extremes**.

Result of the Pool IFOP-La Fabrique Spinoza, April 12-13, 2017

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(1) Mélenchon	<i>Good</i>	-35.7%	(1)	20.7%
(2) Macron	<i>Good</i>	-41.9%	(3)	20.4%

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(3) Hamon	<i>Acceptable</i>	+46.6%	(5)	8.5%
(4) Dupont-Aignan	<i>Acceptable</i>	-44.8%	(6)	4.9%
(5) Le Pen	<i>Acceptable</i>	-47.7%	(2)	20.5%

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(6) Poutou	<i>Acceptable</i>	-48.5%	(7)	4.2%
(7) Fillon	<i>Insufficient</i>	+48.6%	(4)	14.0%
(8) Lassalle	<i>Insufficient</i>	+43.6%	(8)	2.1%
(9) Arthaud	<i>Insufficient</i>	+42.4%	(9)	1.9%
(10) Asselineau	<i>Insufficient</i>	+39.0%	(10)	1.7%
(11) Cheminade	<i>Insufficient</i>	+36.8%	(11)	1.1%

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John Kasich	5%	28%	39%	13%	7%	9%
Bernie Sanders	10%	26%	26%	15%	21%	3%
Ted Cruz	7%	22%	21%	17%	19%	4%
Hillary Clinton	11%	22%	20%	16%	30%	1%
Donald Trump	10%	16%	12%	15%	44%	3%

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John Kasich	33%	<i>Average+</i>	29%
Bernie Sanders	36%	<i>Average-</i>	39%
Ted Cruz	29%	<i>Average-</i>	40%
Hillary Clinton	33%	<i>Average-</i>	47%
Donald Trump	38%	<i>Poor-</i>	47%

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Clinton : *Never*

	<i>Great</i>	<i>Good</i>	<i>Average</i>	<i>Poor</i>	<i>Terrible</i>
January	11%	24%	18%	16%	31%
Marsh	11%	22%	20%	16%	31%
August	11%	20%	22%	12%	35%
October	8%	27%	20%	11%	34%

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30%	32%	38%
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	<i>A</i>	<i>B</i>	<i>C</i>
<i>A</i>	–	68%	30%
<i>B</i>	32%	–	62%
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This is called the *Condorcet paradox*.

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19,88%	16,86%	16,18%	6,84%	5,72%	5,33%

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Arrow's paradox : a candidate's presence (having no chance of winning whatsoever) can change the winner.

Arrow paradox in US presidential election

2000 Election	Votes	Electoral votes	Florida votes
George W. Bush	50,456,002	271	2,912,790
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Traditional ranking methods based on comparisons

A **method of ranking** \succeq : a binary relation that compares any two candidates. It must satisfy :

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- A7 [Independence of irrelevant alternatives (IIA)] If $A \succeq B$ then whatever candidates are dropped or adjoined $A \succeq B$.

Impossibility of ranking methods based on comparisons

Theorem (Arrow's Impossibility)

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Proof : Simple.

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Before the performance of Vlasenko, the order was :
1st Urmanov, 2nd Zagorodniuk, 3rd Caneloro.

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Why? Because the method is a function of : **comparisons**.

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	J_1	J_2	J_3	J_4	J_5	J_6	J_7	J_8	J_9	Mark	Place
Urmanov	1	1	1	1	1	2	1	1	1	1/8	1 st
Caneloro	3	2	5	2	3	3	5	6	6	3/5	2 nd
Zagorodniuk	5	5	4	4	2	4	2	2	3	4/7	3 rd
Yagudin	4	3	3	6	4	6	4	3	2	4/7	4 th
Kulik	2	4	2	3	6	5	3	4	5	4/6	5 th
Vlaschenko	6	6	6	5	5	1	6	5	4	5/5	6 th

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	J_1	J_2	J_3	J_4	J_5	J_6	J_7	J_8	J_9	Mark	Place
Urmanov	1	1	1	1	1	2	1	1	1	1/8	1 st
Caneloro	3	2	5	2	3	3	5	6	6	3/5	2 nd
Zagorodniuk	5	5	4	4	2	4	2	2	3	4/7	3 rd
Yagudin	4	3	3	6	4	6	4	3	2	4/7	4 th
Kulik	2	4	2	3	6	5	3	4	5	4/6	5 th
Vlaschenko	6	6	6	5	5	1	6	5	4	5/5	6 th

Arrow's paradox occurs because of Judge 6's strategic voting!

Arrow's paradox in the 1997 European Championships, figure skating

Before the performance of Vlaschenko, the order was :

1st Urmanov, 2nd Zagorodniuk, 3rd Caneloro.

After Vlaschenko's performance, the order was reversed :

1st Urmanov, 2nd Caneloro, 3rd Zagorodniuk.

Why? Because the method is a function of : comparisons.

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Arrow's paradox occurs because of Judge 6's strategic voting!

This flip-flop was so strident that the rules used for a century were changed to a new system based on measures similar to one used in gymnastic, in diving and other sport competitions.

- 1 Majority judgment method
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The domination paradox

National poll, 10 days before first-round, French presidential election, 2012.

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Possible opinion profile :

	9.6%	12.3%	11.7%	4.6%	10.2%	5.9%	14.2%
Hollande :	<i>Exc.</i>	<i>V.Good</i>	<i>Good</i>	<i>Accept.</i>	<i>Accept.</i>	<i>Poor</i>	<i>Rej.</i>
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	0.8%	5.2%	6.5%	1.4%	5.2%	4.1%	8.3%
Hollande :	<i>Outs.</i>	<i>Outs.</i>	<i>Outs.</i>	<i>Exc.</i>	<i>Exc.</i>	<i>V.Good</i>	<i>Poor</i>
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Majority Rule : Sarkozy : **54.3%** Hollande : **31.5%** Indifferent : 14.2%

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- “If there is any case that might be considered the modern analogue to Madison's implicit concept of tyranny, I suppose it is this one.”
- To solve the problem, Dahl proposes using an ordinal “**intensity scale**” obtained “**simply by reference to some observable response, such as a statement of one's feelings.**”

May's and Arrow's axioms

A **method of ranking** \succeq is an asymmetric binary relation that compares any two candidates. It must satisfy the following axioms :

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- **A1** [Unrestricted Domain] All voter's opinions are admissible.
- **A2** [Anonymous] Permuting names of voters does not change the outcome.
- **A3** [Neutral] Permuting names of candidates does not change the outcome.
- **A4** [Monotone] If $A \succeq B$ and one or more of A 's grades are raised then $A \succ B$.
- **A5** [Complete] For any two candidates either $A \succeq B$ or $A \preceq B$ (or both, implying $A \approx B$).
- **A6** [Transitive] If $A \succeq B$ and $B \succeq C$ then $A \succeq C$.
- **A7** [Independence of irrelevant alternatives (IIA)] If $A \succeq B$ then whatever candidates are dropped or adjoined $A \succeq B$.

Ranking methods based on measures

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When does majority rule works well? (does not have the domination paradox)

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Definition : an opinion profil is *polarized* between a pair of candidates A and B if for every two voters i and j , **if they disagree, they do in opposite directions** :
if i evaluates A **higher** than j , then i evaluates B **lower** than j .

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Polarized opinion profile :

	12.5%	16.2%	3.1%	7.9%	5.4%	5.7%	6.0%
Hollande :	<i>Outs.</i>	<i>Exc.</i>	<i>V.Good</i>	<i>V.Good</i>	<i>V.Good</i>	<i>Good</i>	<i>Good.</i>
Sarkozy :	<i>Rej.</i>	<i>Rej.</i>	<i>Rej.</i>	<i>Poor</i>	<i>Accept.</i>	<i>Accept.</i>	<i>Good</i>
	5.0%	9.8%	6.5%	7.7%	4.6%	9.6%	
Hollande :	<i>Accept.</i>	<i>Accept.</i>	<i>Poor</i>	<i>Poor</i>	<i>Rej.</i>	<i>Rej.</i>	
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Holland : **50.8%**

Sarkozy : **43.2%**

Indifferent : **6.0%**

Statistical Polarization

True opinion profile, Hollande-Sarkozy, 2012 French presidential poll :

		Hollande							
		<i>Outs.</i>	<i>Exc.</i>	<i>V.G.</i>	<i>Good</i>	<i>Fair</i>	<i>Poor</i>	<i>Rej.</i>	Total
S	<i>Outs.</i>	0.14%	0.00%	0.41%	1.09%	2.04%	2.99%	2.99%	09.63%
a	<i>Exc.</i>	0.27%	1.09%	0.95%	2.17%	2.71%	2.71%	2.44%	12.35%
r	<i>V.G.</i>	0.27%	1.22%	2.04%	3.12%	2.99%	3.93%	2.71%	16.28%
k	<i>Good</i>	1.22%	1.09%	1.76%	1.76%	2.85%	1.63%	0.68%	10.99%
o	<i>Fair</i>	1.63%	2.44%	2.58%	1.09%	2.31%	0.68%	0.41%	11.13%
z	<i>Poor</i>	1.75%	2.58%	1.09%	0.27%	0.54%	0.81%	0.81%	07.87%
y	<i>Rej.</i>	7.19%	7.73%	7.60%	2.17%	1.36%	1.49%	4.21%	31.75%
Total		12.48%	16.15%	16.42%	11.67%	14.79%	14.25%	14.25%	

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Cumulative distributions of Hollande's grades for each of Sarkozy's grades

		Hollande						
		\sum <i>Outs.</i>	\sum <i>Exc.</i>	\sum <i>V.Good</i>	\sum <i>Good</i>	\sum <i>Fair</i>	\sum <i>Poor</i>	\sum <i>Rej.</i>
S	<i>Outs.</i>	01.41%	01.41%	05.64%	16.91%	38.04%	69.03%	100%
a	<i>Exc.</i>	02.20%	10.99%	18.68%	36.26%	58.24%	80.23%	100%
r	<i>V.Good</i>	01.67%	09.17%	21.67%	40.84%	59.17%	83.34%	100%
k	<i>Good</i>	11.11%	20.99%	37.04%	53.09%	79.02%	93.83%	100%
o	<i>Fair</i>	14.63%	36.58%	59.75%	69.51%	90.24%	96.34%	100%
z	<i>Poor</i>	22.41%	55.17%	68.96%	72.41%	79.31%	89.65%	100%
y	<i>Rej.</i>	22.65%	47.01%	70.94%	77.78%	82.05%	86.75%	100%

Consistency with majority rule on polarized domains

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*When the language of grades is sufficiently rich, a method of ranking based on measures satisfying **basic axioms** A1 to A7 is **consistent with the majority rule on polarized pairs** if and only if it coincide with the **majority-gauge**.*

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Because it is in this situation that voters have the greatest temptation to vote strategically and MR is stable to strategic voting.

Strategy proofness for methods based on measures

- A1 [Unrestricted Domain] All voters opinions are admissible.
- A2 [Anonymous] Interchanging the names of voters does not change the outcome.
- A3 [Neutral] Interchanging the names of candidates does not change the outcome.
- A4 [Monotone] If $A \succeq B$ and one or more of A 's grades are raised then $A \succ B$.
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Conclusion : since richer scale means more information and so better decision,
a scale must be as rich as possible.

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In our field experiments, 4 grades were few, 6 grades were sufficient

No. of grades :	1	2	3	4	5	6	7	Total
2007 :	1%	2%	10%	31%	42%	14%	–	100%
2012 :	1%	6%	13%	31%	36%	13%	1%	100%

Poll Opinion Way/Terra Nova, French presidential, April 12-16, 2012

Condorcet- ranking	Hollande	Bayrou	Sarkozy	Mélenchon	Le Pen	Borda- ranking
1 Hollande	–	51.6%	53.9%	68.5%	64.1%	1) 59.5%
2 Bayrou	48.4%	–	56.5%	59.4%	70.5%	2) 58.7%
3 Sarkozy	46.1%	43.5%	–	50.5%	65.7%	3) 51.4%
4 Mélenchon	31.5%	40.6%	49.5%	–	59.7%	4) 45.3%
5 Le Pen	35.9%	29.5%	34.3%	40.3%	–	5) 35.0%

Poll Opinion Way-Terra Nova, French presidential, April 12-16, 2012.

Majority judgment	Majority grade	Gauge	First-past-the-post		Approval Voting	
1 Hollande	<i>Good</i>	+45.1%	1	28.6%	1	49.4%
2 Bayrou	<i>Good</i>	-40.7%	5	9.1%	3	39.2%
3 Sarkozy	<i>Accept</i>	+49.3%	2	27.3%	2	40.5%
4 Mélenchon	<i>Accept</i>	+42.5%	4	11.0%	4	39.1%
5 Dupont-Aignan	<i>Poor</i>	+40.6%	7	1.5%	8	10.7%
6 Joly	<i>Poor</i>	-38.5%	6	2.3%	6	26.7%
7 Poutou	<i>Poor</i>	-45.7%	8	1.2%	7	13.3%
8 Le Pen	<i>Poor</i>	-47.6%	3	17.9%	5	27.4%
9 Arthaud	<i>Poor</i>	-49.9%	9	0.7%	9	8.4%
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- Methods that ask more information (**MJ-**, **Condorcet** and **Borda**) have identical rankings and put Bayrou comfortably ahead of Sarkozy.
- Methods that ask less information (**first-past-the-post** and **AV**) fail.

Common use of grades : Orsay experiment, 2007

	3	1 st	6 th	12 th	Samples of 100		Dsjt samples of 50	
	prcts.	prct.	prct.	prct.	Avg. (σ)	Rg	Avg. (σ)	Rg
<i>Excll</i>	0.7	0.7	0.7	0.7	0.7 (.07)	0.6/0.8	0.7 (.12)	0.5/0.9
<i>V.Good</i>	1.3	1.2	1.2	1.4	1.2 (.13)	1.1/1.5	1.3 (.16)	1.1/1.5
<i>Good</i>	1.5	1.5	1.4	1.6	1.5 (.13)	1.4/1.7	1.5 (.27)	0.9/1.8
<i>Accp</i>	1.7	1.7	1.7	1.8	1.8 (.15)	1.7/2.1	1.7 (.27)	2.1/2.6
<i>Poor</i>	2.3	2.3	2.3	2.2	2.3 (.19)	2.1/2.7	2.3 (.19)	2.1/2.6
<i>Rjct</i>	4.6	4.8	4.6	4.3	4.5 (.29)	4.1/4.8	4.5 (.41)	4.1/5.3

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The use of language was common in the 3 percents, yet, the majority judgement winner was not the same.

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Favoring the centrist, Orsay experiment 2007

10,000 random samples of 201 ballots from 501 “representative” ballots

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	<i>Left</i> ←		→ <i>Right</i>	Tie	Cycle
	Royal	Bayrou	Sarkozy		
First-past-the-post winner	977	0	9,022	5	–
Two-past-the-post winner	1,146	98	8,197	559	–
Approval \succeq <i>Very Good</i>	467	658	7,947	928	–
Majority judgement-winner	606	4,326	5,065	3	–
Condorcet-winner	142	8,329	974	441	114
Approval \succeq <i>Good</i>	23	9,465	40	472	–
Point-summing	139	9,463	239	159	–
Borda-winner	12	9,976	0	12	–

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First- and two-past-the-post (unduly) penalize the centrist, point-summing and Borda (unduly) favor the centrist.

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Numbers of successful strategic manipulations :

	Point-sum	Borda	First-p-p	Approval \succcurlyeq Good	Approval \succcurlyeq VGood	Condorcet	Majority judge
<i>Strat 1</i>	9,965	9,313	8,699	8,569	8,407	7,042	6,142
<i>Strat 2</i>	9,769	7,864	4,411	8,849	8,557	4,641	5,313

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- It has also been proposed to the Special Committee on Electoral Reform in Quebec City by the deputy Raymond Côté, in September 22, 2016.



ET SI ON CHANGEAIT DE MODE DE SCRUTIN ?

L'élection présidentielle au Jugement Majoritaire

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